

ATEL Investor Services

Financial Advisor and Broker Dealer Change Authorization

Use this form to change the financial advisor and/or broker-dealer servicing your investment. Your new financial advisor will have the information you need to complete this form. Please note signatures are required from your new financial advisor as well as a principal of the new broker dealer in order to authorize the change. **If you are keeping the same financial advisor but changing broker dealers** you still need to complete this form. All account holders must sign this form.

SEND TO:
ATEL INVESTOR SERVICES
C/O CONDUENT SECURITIES SERVICES
1702 N. COLLINS BLVD.
SUITE 260
RICHARDSON, TEXAS 75080

Fax: 214-887-7411

Account Name: _____
ATEL Investor ID _____

Please change the financial advisor servicing my account to the following:

New Advisor Information

Advisor Name _____ New Advisor CRD # _____

Office Address _____

Telephone Number _____ e-mail address _____

Name of New Broker Dealer Firm _____

Broker Dealer Home Office Address _____

Telephone number of new broker dealer _____ New Firm CRD # _____

*signature of primary account holder
holder*

*signature of secondary account
holder*

signature of new advisor

Date

signature of broker dealer principal Date printed name of broker dealer principal

**NOTE: NO NEW OR ADDITIONAL PURCHASES OF ATEL INVESTMENTS MAY BE MADE UNLESS THE REQUESTED
BROKER DEALER FIRM HAS EXECUTED A SELLING AGREEMENT WITH ATEL.**